

**PATENT**  
**Attorney Docket No. EHP-003.02**

In re Application of: Bergeron et al.

Application No: Not Yet Assigned

Filed: September 5, 2003

For: Processing Transactions Using a  
Semantic Network

Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. Copies of the patents and publications are enclosed.

**REMARKS**

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed:

- ☒ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office Action** on the merits, or before the mailing of a **first Office Action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☐ the requisite Statement is below, **OR**
- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or
- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**

- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.


### STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that **[check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required]**:

- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Respectfully Submitted,  
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Form PTO-1449

<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b> <i>(Use several sheets if necessary)</i>	Docket Number (Optional) <b>EHP-003.02</b>	Application Number Not Yet Assigned
	Applicant <b>Bergeron et al.</b>	
	Filing Date <b>September 5, 2003</b>	Group Art Unit Not Yet Assigned

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER		DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A1	6,208,973 B1	03/27/01	Boyer et al.	705	2	02/27/98
	A2	6,343,271 B1	01/29/02	Peterson et al.	705	4	07/17/98
	A3	2002/0010741 A1	01/24/02	Stewart et al.	709	204	02/16/01
	A4	2002/0019754 A1	02/14/02	Peterson et al.	705	4	09/14/01
	A5	2002/0062224 A1	05/23/02	Thorsen et al.	705	2	05/21/99
	A6	2002/0123907 A1	09/05/02	Strayer	705	2	02/13/02
	A7	2002/0156693 A1	10/24/02	Stewart et al.	705	26	07/16/01
	A8	2002/0161674 A1	10/31/02	Scheer	705	28	05/29/01
	A9	2002/0184191 A1	12/05/02	Marpe et al.	707	3	11/23/99
	A10	2002/0194181 A1	12/19/02	Wachtel	707	10	07/25/01
	A11	2003/0033159 A1	02/13/03	Altomare	705	1	11/30/01
	A12	2003/0078911 A1	04/24/03	Haskell et al.	707	2	09/23/02
	A13	2003/0097286 A1	05/22/03	Skeen	705	7	10/18/02
	A14	2003/0126136 A1	07/03/03	Omoigui	707	10	06/24/02
	A15	2003/0130868 A1	07/10/03	Coelho	705	2	01/04/02
	A16	2003/0144967 A1	07/31/03	Zubeldia et al.	705	73	01/31/02
	A17	2003/0149594 A1	08/07/03	Beazley et al.	705	2	04/15/02

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

**OTHER DOCUMENTS***(Including Author, Title, Date, Pertinent Pages Etc.)*

	C1	"SchemaLogic- The Enterprise Schema Management Company", pp. 1-2					
	C2	"SchemaLogic- Products" <a href="http://www.schemalogic.com/products.html">http://www.schemalogic.com/products.html</a> , printed 08/22/03, pg. 1					
	C3	"SchemaLogic- Products- The Role and ROI of Enterprise Schema Management (ESM): Frequently Asked Questions", <a href="http://www.schemalogic.com/products_faq_roi.html">http://www.schemalogic.com/products_faq_roi.html</a> , printed 08/22/03, pp. 1-4					
	C4	"SchemaLogic- Products: Value" <a href="http://www.schemalogic.com/products_value.html">http://www.schemalogic.com/products_value.html</a> , printed 08/22/03, pp. 1-2					

Form PTO-1449

**INFORMATION DISCLOSURE CITATION****IN AN APPLICATION**  
(Use several sheets if necessary)

Docket Number (Optional)

EHP-003.02

Application Number

Not Yet Assigned

Applicant

Bergeron et al.

Filing Date

September 5, 2003

Group Art Unit

Not Yet Assigned

C5	"SchemaLogic- Products: Products to Improve the Way Information is Organized: Overview", <a href="http://www.schemalogic.com/products_overview.html">http://www.schemalogic.com/products_overview.html</a> , printed 08/22/03, pp. 1-3
C6	"SchemaLogic- Products: Features", printed 08/22/03, <a href="http://www.schemalogic.com/products_features.html">http://www.schemalogic.com/products_features.html</a> , pp. 1-2
C7	"SchemaLogic- Enterprise Schema Management (ESM): Frequently Asked Questions", <a href="http://www.schemalogic.com/products_faq_ESM8.html">http://www.schemalogic.com/products_faq_ESM8.html</a> , printed 08/22/03, pp. 1-2
C8	"SchemaLogic- Products", printed 08/22/03, <a href="http://www.schemalogic.com/products_faq.html">http://www.schemalogic.com/products_faq.html</a> , pg. 1
C9	"SchemaLogic- Products", printed 08/22/03, <a href="http://www.schemalogic.com/products_faq.html">http://www.schemalogic.com/products_faq.html</a> , pg. 1
C10	"SchemaLogic- Products- Overview: Products to Improve the Way Information is Organized", <a href="http://www.schemalogic.com/products_overview.html">http://www.schemalogic.com/products_overview.html</a> , printed 08/22/03, pp. 1-3
C11	"Chasma, Inc., Capital Structure" printed 08/22/03, pg. 1
C12	"SchemaLogic- Products: Glossary", <a href="http://schemalogic.com/products_glossary.html">http://schemalogic.com/products_glossary.html</a> , printed 08/22/03, pp. 1-3

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE